



MEMO FOR BROKERS

NO. 287-2004

T h e P h i l i p p i n e S t o c k E x c h a n g e , I n c .

Trading Rules Computer Systems Update
 Membership Rules Administrative Matter
 Listing Rules Others:

To : **ALL CONCERNED**

Subject : **SECURITIES REPRESENTATIVE CERTIFICATION EXAMINATION**

Date : **OCTOBER 25, 2004**

For your information, please find attached letter from SEC Director Jose P. Aquino regarding the Securities Representative Certification Exam (SRCE) on November 12, 2004.

(Original Signed)
ELIZABETH C. DINOY
Head – Membership Department

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REPUBLIC OF THE PHILIPPINES
SECURITIES AND EXCHANGE COMMISSION
SEC Building, EDSA, Greenhills
Mandaluyong City
Tel. No. 726-0931 to 39
www.sec.gov.ph

20 October 2004

ATTY. FRANCIS ED. LIM
President
Philippine Stock Exchange
Philippine Stock Exchange Centre, Exchange Road
Ortigas Center, Pasig City

Dear Atty. Lim:

Please be informed that the Commission will administer the Securities Representative Certification Exam (SRCE) on **12 November 2004**. One hundred sixty (160) slots have been allotted for this exam on a first-come, first-served basis. Aspirants who wish to take the exam may now register. Deadline for registration and payment is on **8 November 2004**.

For any inquiry, please contact MS. TESS SANTOS of Market Regulation Department at tel. nos. (02)726-0931 loc. 223 or (02)725-3702.

Kindly disseminate the foregoing to your member-brokers.

Best regards.

Very truly yours,

A handwritten signature in black ink, appearing to read "Jose P. Aquino".

JOSE P. AQUINO

Director

Market Regulation Department

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10/20/04*